

Bell Inequalities and XOR Games

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Math Beyond the Horizon

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Encoding Information

Information Theory: Computation, Communication & Cryptography

Classical Bit: 0 or 1, "On" or "Off" switch

Encode Info in strings of 0 & 1, e.g., 1101000110

Instead of "On/Off" use electron spin – little magnet

0 \sim \uparrow spin up (North) 1 \sim \downarrow spin down (South)

BUT also \rightarrow spin right (East) \leftarrow spin left (West) OR \nearrow

What does this mean?? Can you rep any direction??

Represent with vectors in 2-dim $|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ $|1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

Unit of quantum info "qubit" – many different physical methods

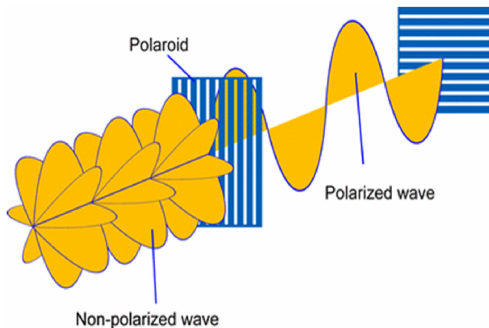
Polarized Light

Light composed of particles called photons

Single photon also has polarization horiz $\rightarrow \sim 0$ vert $\uparrow \sim 1$

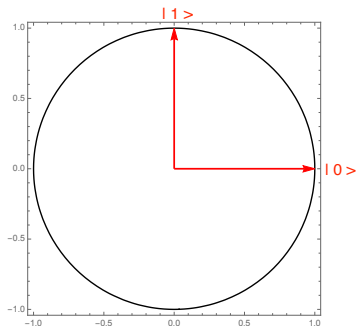
Can rotate filters in other directions, e.g., \nearrow need $0 \perp 1$

polarization of light.

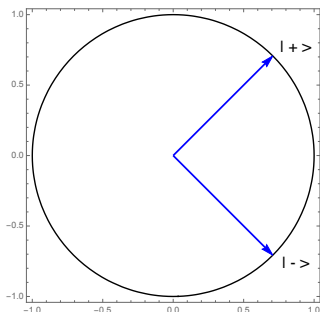


Basic Qubit states

	$ 0\rangle$	$ 1\rangle$
$\begin{pmatrix} x \\ y \end{pmatrix}$	$\begin{pmatrix} 1 \\ 0 \end{pmatrix}$	$\begin{pmatrix} 0 \\ 1 \end{pmatrix}$
spin	\uparrow	\downarrow
polarization	\rightarrow	\uparrow



	$ +\rangle$	$ -\rangle$
$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$	$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$	$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$
spin	\rightarrow	\leftarrow
polarization	\nearrow	\searrow



Vector spaces

$$\text{Operations } \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} w \\ z \end{pmatrix} = \begin{pmatrix} x+w \\ y+z \end{pmatrix} \quad a \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} ax \\ ay \end{pmatrix}$$

single qubit use 2-dim vector spaces $\vec{v} = \mathbf{v} = (x \ y) = \langle v |$

Key Property of vector space \mathcal{V} is

$$|u\rangle, |v\rangle \text{ in } \mathcal{V} \implies a|u\rangle + b|v\rangle \text{ in } \mathcal{V}$$

Notation: row vecs $\langle u | = (u_1 \ u_2)$ col vecs $|v\rangle = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$

Def: inner product (dot prod) $\mathbf{u} \cdot \mathbf{v} = \langle u, v \rangle \equiv u_1 v_1 + u_2 v_2$

Def: orthogonal (perpendicular) $\langle u, v \rangle = 0$

Unit vectors in 2-dim, $\langle u, v \rangle = \cos$ angle between $|u\rangle$ and $|v\rangle$

Arrows help visualize inner prod – only need points on unit circle

Need higher dim, e.g, two qubits use 4-dim vectors – can't draw

Principles of Quantum Mechanics

- States are represented by (unit) vectors $|v\rangle$ $x^2 + y^2 = 1$
 - Time development given by Schrödinger equation (don't need)
 - A physical observable Q is represented by operator (matrix) associated with special numbers q_k (called eigenvalues) and vectors (called eigenvectors) which satisfy $Q|u_k\rangle = q_k|u_k\rangle$
 - The result of measuring observable Q is one of the numbers q_k .
System orig in state $|v\rangle$ ends in $|u_k\rangle$ with probability $|\langle v, u_k \rangle|^2$
- ⇒ Measurement destroys original state !! ⇒ No Cloning !!
- ⇒ Overall sign doesn't matter $\begin{pmatrix} x \\ y \end{pmatrix}$ and $\begin{pmatrix} -x \\ -y \end{pmatrix}$ rep same state

Consequence of Measurement

Start with unknown $|\nearrow\rangle$ direction

Can choose measurement that decides between North and South

+1 North $|\uparrow\rangle$ -1 South $|\downarrow\rangle$

but now left in N $|\uparrow\rangle$ or S $|\downarrow\rangle$

Can't get any useful info about East or West

OR Can choose measurement that decides between East and West

but now left in E $|\rightarrow\rangle$ or W $|\leftarrow\rangle$

Can't get any useful info about North or South

Measurement process destroys orig. state – can't go back & forth

Qubits can store more info, but you can NOT extract more info

$$Q|u_k\rangle = q_k|u_k\rangle$$

Example: Swap $X \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} b \\ a \end{pmatrix}$ $X \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

$$X \begin{pmatrix} 1 \\ 1 \end{pmatrix} = +1 \begin{pmatrix} 1 \\ 1 \end{pmatrix} \quad X \begin{pmatrix} 1 \\ -1 \end{pmatrix} = \begin{pmatrix} -1 \\ 1 \end{pmatrix} = -1 \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

Aside: evals $a_k = +1, -1$ often correspond to states $|0\rangle, |1\rangle$

$|v\rangle$ and $-|v\rangle$ rep same state

Fact: If $Q|u_k\rangle = q_k|u_k\rangle$ and $q_j \neq q_k$ then $\langle u_j, u_k \rangle = 0$

Means: Measurements only distinguish orthogonal states

$$\langle -, 0 \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \neq 0 \Rightarrow \text{can't distinguish}$$

Assume: If $|v\rangle, |w\rangle$ satisfy $\langle v, w \rangle = 0$ some meas can distinguish

Two qubits need tensor products

$$\begin{pmatrix} a \\ b \end{pmatrix} \otimes \begin{pmatrix} c \\ d \end{pmatrix} \equiv \begin{pmatrix} ac \\ ad \\ bc \\ bd \end{pmatrix} \quad \langle u \otimes v, w \otimes z \rangle = \langle u, w \rangle \langle v, z \rangle$$

For matrices or operators $(A \otimes B)|u \otimes v\rangle = A|u\rangle \otimes B|v\rangle$

$$|00\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad |11\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \otimes \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

$$\frac{1}{\sqrt{2}}(|00\rangle + |11\rangle) = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix} \quad \text{NOT a product state ENTANGLED}$$

Used **Key Property**

$|u\rangle, |v\rangle$ in $\mathcal{V} \implies a|u\rangle + b|v\rangle$ in \mathcal{V}

Four Entangled Bell States

$$\frac{1}{\sqrt{2}}(|01\rangle - |10\rangle) \quad \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle) \quad \text{rotate invariant}$$

$$\frac{1}{\sqrt{2}}(|01\rangle + |10\rangle) \quad \frac{1}{\sqrt{2}}(|00\rangle - |11\rangle)$$

Another orthog pair $|\hat{0}\rangle = \begin{pmatrix} c \\ s \end{pmatrix}$ $|\hat{1}\rangle = \begin{pmatrix} s \\ -c \end{pmatrix}$ $c^s + s^2 = 1$
 $\langle \hat{0}, \hat{1} \rangle = 0$

$$\begin{aligned} \frac{1}{\sqrt{2}}(|\hat{0}\hat{0}\rangle + |\hat{1}\hat{1}\rangle) &= \frac{1}{\sqrt{2}} \begin{pmatrix} c \\ s \end{pmatrix} \otimes \begin{pmatrix} c \\ s \end{pmatrix} + \frac{1}{\sqrt{2}} \begin{pmatrix} s \\ -c \end{pmatrix} \otimes \begin{pmatrix} s \\ -c \end{pmatrix} \\ &= \frac{1}{\sqrt{2}} \begin{pmatrix} c^2 \\ cs \\ sc \\ s^2 \end{pmatrix} + \frac{1}{\sqrt{2}} \begin{pmatrix} s^2 \\ -sc \\ -cs \\ c^2 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix} = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle) \end{aligned}$$

rotationally invariant

also true for $\frac{1}{\sqrt{2}}(|01\rangle - |10\rangle)$

Consequences of Entanglement

Alice and Bob share entangled state $\frac{1}{\sqrt{2}}(|0_A 0_B\rangle + |1_A 1_B\rangle)$

Suppose Alice does a 0, 1 measurement and Bob does nothing

If A gets +1 $|0_A\rangle$, combined system is state $|0_A 0_B\rangle$

If A gets -1 $|1_A\rangle$, combined system is state $|1_A 1_B\rangle$

Alice also knows what Bob has, instantly, even if light years apart

Alice and Bob share entangled state $\frac{1}{\sqrt{2}}(|0_A 1_B\rangle - |1_A 0_B\rangle)$

Suppose Alice does nothing and Bob does 0, 1 measurement

If B gets +1 $|0_B\rangle$, combined system is state $|1_A 0_B\rangle$

If B gets -1 $|1_B\rangle$, combined system is state $|0_A 1_B\rangle$

Bob knows what Alice has, instantly, even if light years apart

BUT does **NOT** \Rightarrow faster than light communication

(John) Bell's Cell Phone App



- If Alice and Bob pick same color, one H - other T with prob 1
- If Alice and Bob pick diff colors, both get H with prob $\frac{3}{8}$

When Bob gets H, Alice assumes Bob's coin is T on her side

Pick		Alice's side			Prob
A	B	R	G	B	
R	G	H	T		$\frac{3}{8}$
G	B		H	T	$\frac{3}{8}$
B	R	T		H	$\frac{3}{8}$

Bell: Three mutually exclusive event \Rightarrow sum of probabilities ≤ 1 .

Inequality Violation: But observed Prob is $\frac{3}{8} + \frac{3}{8} + \frac{3}{8} = \frac{9}{8} > 1$

Singlet Bell State

Alice and Bob each get half of rotationally invariant Bell state

$$|\beta\rangle = \frac{1}{\sqrt{2}}(|01\rangle - |10\rangle) = \pm \frac{1}{\sqrt{2}}(|\hat{0}\hat{1}\rangle - |\hat{1}\hat{0}\rangle)$$

Red, Green, Blue correspond to diff choices of orthog pairs

Measure with same choice, always get opposite, e.g., $|01\rangle$ or $|\hat{1}\hat{0}\rangle$

Explains first part if 0 means H 1 means T Red

Measure with another choice, $\hat{0}$ means H $\hat{1}$ means T Green

Both get H in different colors corresponds to state $|0_A\hat{0}_B\rangle$

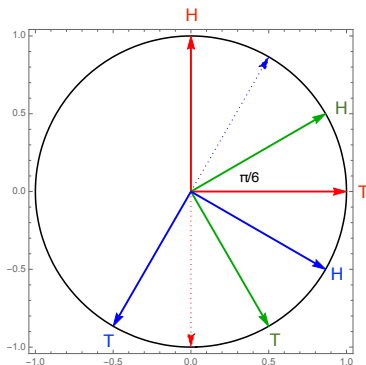
$$\begin{aligned}\text{Prob} &= |\langle 0_A\hat{0}_B, \beta \rangle|^2 = \frac{1}{2} |\langle 0_A\hat{0}_B, 0_A1_B \rangle - \langle 0_A\hat{0}_B, 1_A0_B \rangle|^2 \\ &= \frac{1}{2} |\langle 0_A, 0_A \rangle \langle \hat{0}_B, 1_B \rangle - \frac{1}{2} \langle 0_A, 1_A \rangle \langle \hat{0}_B, 0_B \rangle|^2 = \frac{1}{2} |\langle \hat{0}_B, 1_B \rangle|^2\end{aligned}$$

Prob Alice H, Bob H = $\frac{1}{2} |\langle H^G, T^R \rangle|^2$ Bob's side

Bell basis choices

$$\text{Prob Alice H, Bob H} = \frac{1}{2} |\langle H^G, T^R \rangle|^2 = \frac{1}{2} \cos^2 \frac{\pi}{6} = \frac{1}{2} \left| \frac{\sqrt{3}}{2} \right|^2 = \frac{3}{8}$$

For unit vecs, $\langle u, v \rangle = \cos$ of angle between



For any pair of diff colors $\langle H^C, T^D \rangle = \cos \frac{\pi}{6} \Rightarrow \text{Prob of H H is } \frac{3}{8}$

XOR Games or Non-Local Games

Wizard makes rules and asks questions in form 0, 1

Team of players Alice and Bob each answer with 0, 1

Players A and B cooperate to win prize from Wizard

Players Alice and Bob communicate to decide on a strategy

Once game begins, NO communication between A and B

Called “Non-Local” because A and B can be far apart

Wiz Asks			Win If		Strategy		
00	01	10	00	11	RR	RG	GR
	11		01	10		GG	

Best classical strategy, most you can win is 75% of time.

Quantum strategy can win $\cos^2 \frac{\pi}{8} \approx 85\%$ How possible?

Alice and Bob share entangled state $|\beta\rangle = \frac{1}{\sqrt{2}}(|0_A 0_B\rangle + |1_A 1_B\rangle)$

Alice & Bob use **different** Red & Green bases $|\beta\rangle$ in **A's red**

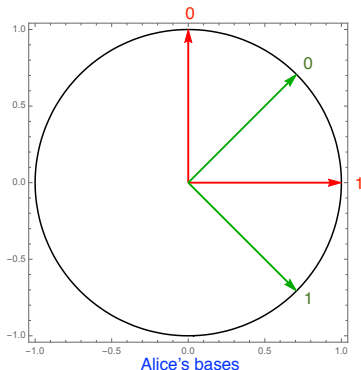
rotationally invariant \Rightarrow Can assume wlog 0, 1 in Alice's basis

$$\begin{aligned} \text{Prob of } 0_A^R 0_B^{\hat{G}} &= |\langle 0_A^R 0_B^{\hat{G}}, \beta \rangle|^2 = \frac{1}{2} |\langle 0_A^R 0_B^{\hat{G}}, |0_A^R 0_B^R\rangle + \langle 0_A^R 0_B^{\hat{G}}, |1_A^R 1_B^R\rangle|^2 \\ &= \frac{1}{2} |\langle 0_A^R, 0_A^R \rangle \langle 0_B^{\hat{G}}, 0_B^R \rangle + 0|^2 = \frac{1}{2} |\langle 0_B^{\hat{G}}, 0_B^R \rangle|^2 \end{aligned}$$

Tedious: Need to check all the combs.

CHSH Strategy

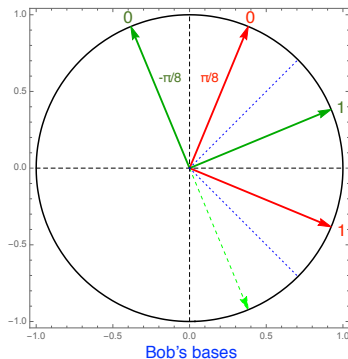
Wiz asks 0,1 Try A-R, B-G Prob 00 Win $\frac{1}{2} |\langle 0^G, 0^R \rangle|^2 = \frac{1}{2} \cos^2 \frac{\pi}{8}$



Ask 00, 01, 10, Win 00 or 11

Strategy: Wiz Asks 0, Use **Red**

Win Prob: Each Comb $\frac{1}{2} \cos^2 \frac{\pi}{8}$



Ask 11, Win 01 or 10

Wiz Asks 1, Use **Green**

Total $\cos^2 \frac{\pi}{8} \approx 0.853$

CHSH Inequality

0 → +1

1 → -1

							Product		
Wiz Asks			Strategy			Win If		W	L
00	01	10	RR	RG	GR	00	11	+1	-1
	11			GG		01	10	-1	+1

If only possible values of numbers a_0, b_0, a_1, b_1 are ± 1

$$a_0 b_0 + a_0 b_1 + a_1 b_0 - a_1 b_1 = a_0(b_0 + b_1) + a_1(b_0 - b_1) = \pm 2$$

$$\Rightarrow -2 \leq \text{av}(a_0 b_0) + \text{av}(a_0 b_1) + \text{av}(a_1 b_0) - \text{av}(a_1 b_1) \leq +2$$

$$\text{av}(a_0 b_1) = (+1)(\text{Prob of W}) + (-1)(\text{Prob of L})$$

$$= \cos^2 \frac{\pi}{8} - \sin^2 \frac{\pi}{8} = \cos \frac{\pi}{4} = \frac{1}{\sqrt{2}}$$

$$\text{av}(a_1 b_1) = (-1) \cos^2 \frac{\pi}{8} + (+1) \sin^2 \frac{\pi}{8} = -\cos \frac{\pi}{4} = -\frac{1}{\sqrt{2}}$$

$$\text{av}(a_0 b_0) + \text{av}(a_0 b_1) + \text{av}(a_1 b_0) - \text{av}(a_1 b_1)$$

$$= 3 \frac{1}{\sqrt{2}} - \left(-\frac{1}{\sqrt{2}}\right) = 4 \frac{1}{\sqrt{2}} = 2\sqrt{2} > 2$$

GHZ game: $0 \mapsto +1$ $1 \mapsto -1$

Wizard			Winning Answers				Answer ± 1
A	B	C					Win Product
0	0	0	000	011	101	110	+1
0	1	1	100	010	001	111	-1
1	0	1	100	010	001	111	-1
1	1	0	100	010	001	111	-1

ABC orig. have entangled state $|GHZ\rangle = \frac{1}{\sqrt{2}}(|000\rangle + |111\rangle)$

Probability of particular answer is $|\langle GHZ, Answer \rangle|^2$

Wiz asks 0, measure in $|\pm\rangle = \frac{1}{\sqrt{2}}(|0\rangle \pm |1\rangle) = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \pm 1 \end{pmatrix}$ Get ± 1

Wiz asks 1, measure to get

Note: 4 ways to win 4 ways to lose

GHZ game: WIZ asks 000

Wizard			Product ± 1		Winning Answers			
A	B	C	Win	Lose	Old Form			
0	0	0	+1	-1	000	011	101	110
0	1	1	-1	+1	111	100	010	001

A, B and C share $\langle GHZ | = \frac{1}{\sqrt{2}} (\langle 000 | + \langle 111 |)$

Prob of Answer $|\langle GHZ, Answer \rangle|^2$ Prob Win $4(\frac{1}{2})^2 = 1$

$$\frac{1}{4} \langle 000 + 111, (|0\rangle \pm |1\rangle) \otimes (|0\rangle \pm |1\rangle) \otimes (|0\rangle \pm |1\rangle) \rangle$$

because, e.g., $\langle 000, 010 \rangle = \langle 111, 011 \rangle = 0$

$$= \frac{1}{4} \langle 000, 000 \rangle + \frac{1}{4} (\pm 1)_A (\pm 1)_B (\pm 1)_C \langle 111, 111 \rangle$$

$$= \begin{cases} \frac{1}{4}(1+1) = \frac{1}{2} & \text{if product} = +1 & \text{Always Win} \\ \frac{1}{4}(1-1) = 0 & \text{if product} = -1 & \text{Never Lose Done!} \end{cases}$$

GHZ game: WIZ asks 011

Wizard			Product ± 1	
A	B	C	Win	Lose
0	0	0	+1	-1
0	1	1	-1	+1

Measure gives ± 1
 Answer to Wiz
 still ± 1 , not $\pm i$

$$A \text{ use } |\pm 1\rangle, BC \text{ use } |\pm i\rangle = \frac{1}{\sqrt{2}}(|0\rangle \pm i|1\rangle) = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \pm i \end{pmatrix}$$

$$\begin{aligned} & \frac{1}{4} \langle 000 + 111, (|0\rangle \pm |1\rangle) \otimes (|0\rangle \pm i|1\rangle) \otimes (|0\rangle \pm i|1\rangle) \rangle \\ &= \frac{1}{4} \langle 000, 000 \rangle + \frac{1}{4} (\pm 1)_A (\pm i)_B (\pm i)_C \langle 111, 111 \rangle \\ &= \frac{1}{4} \langle 000, 000 \rangle - \frac{1}{4} (\pm 1)_A (\pm 1)_B (\pm 1)_C \langle 111, 111 \rangle \quad \text{since } i^2 = -1 \\ &= \begin{cases} \frac{1}{4}(1 - 1) = 0 & \text{if product} = +1 \quad \text{Never Lose} \\ \frac{1}{4}(1 + 1) = \frac{1}{2} & \text{if product} = -1 \quad \text{Always Win} \end{cases} \end{aligned}$$

Key $i^2 = -1$ switches + to - in front of prod $(\pm 1)_A (\pm 1)_B (\pm 1)_C$

Aside on complex vectors

For $|v\rangle = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$ $|u\rangle = \begin{pmatrix} u_1 \\ u_2 \end{pmatrix}$ with u_j, v_j complex numbers

dual $\langle u| = (u_1^* \quad u_2^*)$ has complex conj $(a + ib)^* = a - ib$

inner product $\langle u, v \rangle = u_1^* v_1 + u_2^* v_2$ $i^2 = -1$

$$\langle v, v \rangle = \begin{pmatrix} v_1^* & v_2^* \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = v_1^* v_1 + v_2^* v_2 = |v_1|^2 + |v_2|^2$$

$$|u_+\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix} \quad |u_-\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix} \quad Q|u_\pm\rangle = \pm 1|u_\pm\rangle$$

orthogonal $\langle u_+, u_- \rangle = \frac{1}{2} (1 \quad -i) \begin{pmatrix} 1 \\ -i \end{pmatrix} = \frac{1}{2}(1 + (-i)^2) = 0$

Connes Embedding Problem

Major mathematical problem unsolved for over 40 years

Technical: Approximate operator algebras by matrix algebras??

Reformulated in many other areas of math and CS

2011 Connected to Bell Inequalities and quantum correlations

2016 Breakthrough using very complicated non-local XOR game
solved a closely related problem

2020 (Jan) Comp. science – entanglement value of XOR game
equivalent to Turing halting problem – undecidable

⇒ 40 years of math problems toppled like dominoes
Connes Embedding Conjecture False

Further Reading

- N .D. Mermin *Boojums All the Way Through* (Cambridge, 1990)
delightful collection of essays, including some on Q.M.
- D. Wick *The Infamous Boundary* (Birkhäuser, 1995)
history of Bell ineq. with Appendix on Probability by W. Faris
- B. Schumacher and M. Westmoreland *Quantum Processes, Systems, and Information* (Cambridge, 2010)
excellent introductory text written for undergraduates
- N .D. Mermin *Quantum Information Science* (Cambridge, 2007)